



08-29-03

GAU

124789/GETS 5304.1
PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of Ajith K. Kumar et al.

Group Art Unit To Be Assigned

Serial No. 10/606,723

Filed June 26, 2003

Confirmation No. To Be Assigned

For SYSTEM AND METHOD FOR IMPROVED DETECTION OF LOCOMOTIVE
FRICTION MODIFYING SYSTEM COMPONENT HEALTH AND FUNCTIONALITY

August 28, 2003

COMMISSIONER FOR PATENTS
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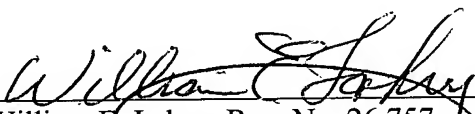
SIR:

INFORMATION DISCLOSURE STATEMENT

In accordance with 37 C.F.R. 1.97 and 1.98 and MPEP 609, and in compliance with the duty of disclosure set forth in 37 C.F.R. 1.56, applicants submit copies of the references listed on the attached PTO/SB/08A for consideration by the Patent and Trademark Office in the above-entitled application and to be made of record therein.

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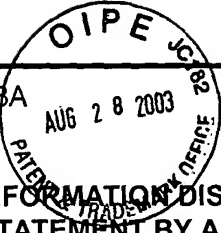
Respectfully submitted,


William H. Lahey, Reg. No. 26,757
SENNIGER, POWERS, LEAVITT & ROEDEL
One Metropolitan Square, 16th Floor
St. Louis, Missouri 63102
(314) 231-5400

WEL/dfw

*Attachments

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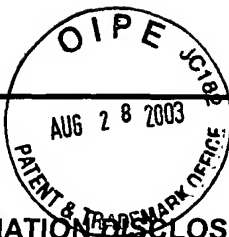
 <p>PTO/SB/08A</p> <p>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</p> <p>(use as many sheets as necessary)</p>				Complete if Known	
				Application Number	10/606,723
				Filing Date	June 26, 2003
				Confirmation Number	To Be Assigned
				First Named Inventor	Ajith K. Kumar
				Group Art Unit	To Be Assigned
				Examiner Name	To Be Assigned
Sheet	2	of	4	Attorney Docket No.	124789/GETS 5304.1

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. ¹	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY
		Number	Kind Code ² (if known)		
	1	4,230,045		Fearon, Joseph G.	10-28-1980
	2	4,634,545		Zaleski, Peter L. et al.	01-06-1987
	3	4,726,448		Schroeder, Roger L. et al.	02-23-1988
	4	4,781,121		Kumar, Sudhir et al.	11-01-1988
	5	4,915,856		Jamison, Warren E.	04-10-1990
	6	4,930,600		Kumar, Sudhir et al.	06-05-1990
	7	4,992,568		Larock, Richard C.	02-12-1991
	8	5,060,335		Webster, Richard	10-29-1991
	9	5,086,591		Panetti, Romolo	02-11-1992
	10	5,134,808		Panetti, Romolo	08-04-1992
	11	5,158,694		Waynick, John Andrew	10-27-1992
	12	5,173,204		Chiddick, Kelvin S. et al.	12-22-1992
	13	5,251,724		Szatkowski, James G. et al.	10-12-1993
	14	5,358,072		Szatkowski, James G. et al.	10-25-1994
	15	5,477,941		Kumar, Sudhir et al.	12-26-1995
Examiner Signature				Date Considered	

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Unique citation designation number. ²See attached Kinds of U.S. Patent Documents. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached.

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PTO/SB/08A INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)				Complete if Known	
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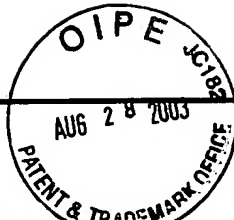
	16	5,492,642		Mulvihill, Mark A. et al.	02-20-1996
	17	5,687,814		Craig, Jeffrey P. et al.	11-18-1997
	18	5,722,509		Clinger, Daniel Clifton	03-03-1998
	19	5,726,448		Smith, Steven Joel	03-10-1998
	20	5,775,228		Lamba, Harinder Singh et al.	07-07-1998
	21	5,896,947		Kumar, Sudhir	04-27-1999
	22	5,919,295		Lamba, Harinder Singh et al.	07-06-1999
	23	5,992,568		Craig, Jeffrey P. et al.	11-30-1999
	24	6,073,346		Runkle, Joseph C.	06-13-2000
	25	6,136,757		Chiddick, Kelvin	10-24-2000
	26	6,170,610	B1	Kumar, Sudhir	01-09-2001
	27	6,186,411	B1	Sich, Gary M.	02-13-2001
	28	6,199,661	B1	Kumar, Sudhir	03-13-2001
	29	6,254,696	B1	Ueda, Masaharu et al.	07-03-2001
	30	6,276,281	B1	Mesalic, Admir et al.	08-21-2001

Examiner Signature		Date Considered	
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Sheet

4

of

4

FOREIGN PATENT DOCUMENTS

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		Office	Number ⁴	Kind Code ² (if known)			

OTHER ART - NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.) date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ⁶

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